

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT

Docket Number  
40103/00501

Application No.  
10/033,975

Filing Date  
December 19, 2001

Inventor(s)  
James HARRISON et al.

Invention Title  
IMPROVED METHOD AND APPARATUS FOR WAFER-LEVEL TESTING OF  
SEMICONDUCTOR LASERS

Address to:

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

I hereby certify that this correspondence is being deposited with the  
United States Postal Service on that date until in an envelope  
addressed to: Assistant Commissioner for Patents, Washington,  
D.C. 20231, on

Date: 2/8/02

Reg. No. 35,508

Signature: Lesha Ramas  
Patrick J. Fay

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references (cited in the specification of the above-identified application) to the attention of the Examiner. The references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed.
3. As this Information Disclosure Statement is being submitted prior to receipt of the first Office Action, in this case, no fee is believed to be required. However, if any fee is required, please use deposit account number 50-1492. A copy of this paper is enclosed for that purpose.

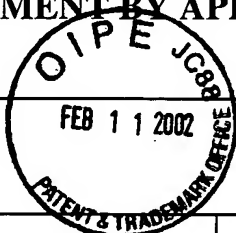
Dated: February 8, 2002

By: Patrick J. Fay

Patrick J. Fay (Reg. No. 35,508)

FAY KAPLIN & MARCIN, LLP  
100 Maiden Lane, 17<sup>th</sup> Floor  
New York, N.Y. 10038  
(212) 898-8870 (telephone)  
(212) 208-6819 (facsimile)

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO. <b>40103/00501</b>	SERIAL NO. <b>10/033,975</b>
	APPLICANT(S) <b>James Harrison and David L. Heald</b>	
	FILING DATE <b>December 19, 2001</b>	GROUP <b>2858</b>



### U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	5,498,973	12 Mar. 1996	Cavaliere et al.	324/765	
	6,057,918	2 May 2000	Geary et al.	356/218	
	6,137,305	24 Oct. 2000	Freund et al.	324/767	
	6,255,707	3 Jul. 2001	Bylsma et al.	257/414	
	6,264,852	24 Jul. 2001	Herchen et al.	216/60	

If necessary.

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	FILING DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
					YES	NO

### OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with M.P.E.P. 609, strike out citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	